

**National Fire & Marine Insurance Company (a Berkshire Hathaway company)  
Insured Financial Professionals of Avantax Investment Services and/or  
Avantax Advisory Services**

**Avantax Sponsored Errors & Omissions Insurance Program**

**Policy Period: 5/1/2024-12/31/2024**

**CLAIM REPORT FORM**

Date: \_\_\_\_\_

Policy Number: 42-PBD-150970-07

Name: \_\_\_\_\_ Financial Professional #: \_\_\_\_\_

Business Address: \_\_\_\_\_

Phone Number: \_\_\_\_\_ Email Address: \_\_\_\_\_

1. Date you became aware of this claim: \_\_\_\_\_
2. Do you have any other Errors and Omissions Insurance? If so, provide the name of the insurer, policy number, and limits:
3. What type of business does the claim involve?
4. Who is making this claim against you?

Name: \_\_\_\_\_

Address: \_\_\_\_\_

Please attach a description of the circumstances leading to this claim and copies of all pertinent correspondence. If you have been served with a lawsuit, a copy of the suit must be enclosed.

**Send this report to:**

Berkshire Hathaway Specialty Insurance  
500 Northpark Town Center  
1100 Abernathy Road, N.E., Suite 1200  
Atlanta, GA 30328  
Phone: 855-453-9675  
Fax: 617-507-8259  
Or by Email to: [claimsnotice@bhspecialty.com](mailto:claimsnotice@bhspecialty.com)

**Fax or Email a copy to:**

Avantax Law Department  
Attention: Sandi Lavenant 3200  
Olympus Blvd., Suite 100  
Dallas, Texas 75019  
Fax: 972-870-6500  
Email: [legal@avantax.com](mailto:legal@avantax.com)  
  
Gallagher  
Andrew Riche, Senior Claims Advocate  
Phone: 973-921-8397  
Email: [andrew\\_riche@ajg.com](mailto:andrew_riche@ajg.com)

Do not discuss this matter with anyone other than a representative of Berkshire Hathaway, the Legal Department of Avantax, or Gallagher.