

**National Fire & Marine Insurance Company
Insured Financial Professionals of Avantax Investment Services and/or
Avantax Advisory Services**

Avantax Sponsored Errors & Omissions Insurance Program

Policy Period: 12/31/2024 - 12/31/2025

CLAIM REPORT FORM

Date: _____

Policy Number: 42-PBD-151493-05

Name: _____ Financial Professional #: _____

Business Address: _____

Phone Number: _____ Email Address: _____

1. Date you became aware of this claim: _____
2. Do you have any other Errors and Omissions Insurance? If so, provide the name of the insurer, policy number, and limits:
3. What type of business does the claim involve?
4. Who is making this claim against you?

Name: _____

Address: _____

Please attach a description of the circumstances leading to this claim and copies of all pertinent correspondence. If you have been served with a lawsuit, a copy of the suit must be enclosed.

Send this report to:

Berkshire Hathaway Specialty Insurance
500 Northpark Town Center
1100 Abernathy Road, N.E., Suite 1200
Atlanta, GA 30328
Phone: 855-453-9675
Fax: 617-507-8259
Or by Email to: claimsnotice@bhspecialty.com

Fax or Email a copy to:

Avantax Compliance Department
Attention: Veronica Cordova
3200 Olympus Blvd., Suite 100
Dallas, Texas 75019
Phone: (866) 218-8206 Option #1
(Ext 6064)
Fax: (972) 870-6705
Email: complaints@avantax.com

Gallagher
Andrew Riche, Senior Claims
Advocate Phone: 973-921-8397
Email: andrew_riche@ajg.com

Do not discuss this matter with anyone other than a representative of Berkshire Hathaway, the Legal Department of Avantax, or Gallagher.